

## Rational Homotopy Theory - Lecture 16

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Basically, we discuss the same material in lecture on 10 March 2016 as well.

### 1. THE PL DE RHAM THEOREM

We are going to take a slightly different approach, based on the presentation in Félix-Halperin-Thomas [3], with some category-theoretical improvements to make our lives easier.

Recall that we have the simplicial cda  $\nabla(\bullet, *)$ , and the rational PL de Rham complex of a simplicial set  $X$  is

$$A^*(X) = \text{Hom}_{\text{sSets}}(X, \nabla(\bullet, *)).$$

Now, given any simplicial dga  $R(\bullet, *)$ , we let

$$A_R^*(X) = \text{Hom}_{\text{sSets}}(X, R(\bullet, *)).$$

So, as an example, we have  $A^*(X) = A_{\nabla}^*(X)$ . We call  $A_R^*(X)$  the **cochains on  $X$  with coefficients in  $R$** .

We will introduce a simplicial dga  $N$  such that  $A_N^*$  is naturally isomorphic to  $N^*(X)$ , the normalized cochain algebra of  $X$ . In fact, let

$$N(\bullet, q) = N^q(\Delta^\bullet).$$

**Lemma 1.1.** *For any simplicial set  $X$ , the natural map  $A_N^*(X) \rightarrow N^*(X)$  is an isomorphism.*

*Proof.* Let  $f \in A_N^q(X) = \text{Hom}_{\text{sSets}}(X, N(\bullet, q))$ . For a  $p$ -simplex  $\tau$  of  $X$ , let  $f_\tau \in N(p, q)$  be the normalized  $q$ -cochain on  $\Delta^p$ . Given a  $q$ -simplex  $\sigma \in X_q$ , we can apply  $f$  to obtain  $f_\sigma = f(\sigma)e_q \in N(q, q) = \mathbb{Q} \cdot e_q$ , where  $\hat{e}_q$  is dual to the fundamental simplex  $e_q$  of  $\Delta^q$ . One checks that  $\sigma \mapsto f(\sigma)$  defines an element of  $N^q(X)$ , and that the assignment  $A_N^*(X) \rightarrow N^*(X)$  is a dga map. If  $f$  vanishes on all  $q$ -simplices, then it must vanish on all simplices of  $X$ . To see this, let  $\tau : \Delta^p \rightarrow X$  be a  $p$ -simplex of  $X$ , and let  $\alpha : \Delta^q \rightarrow \Delta^p$  be some composition of face and degeneracy maps. Since  $f$  is a simplicial map,  $f_\tau(\alpha) = f_{\tau \circ \alpha}(e_q) = 0$ .

Now, suppose that  $F \in \text{Hom}(X_q, \mathbb{Q})$  is a normalized cochain, so that  $F(\sigma_i(\tau)) = 0$  for any  $i$  and  $\tau \in X_{q-1}$ . Let  $\tau : \Delta^p \rightarrow X$ , and define  $f(\tau) = N^p(F) \in N^q(\Delta^p) = N(p, q)$ . Hence,  $A_N^*(X) \rightarrow N^*(X)$  is surjective.  $\square$

**Theorem 1.2.** *The natural maps*

$$A_N^*(X) \rightarrow A_{N \otimes \nabla}^*(X) \leftarrow A_{\nabla}^*(X)$$

*are quasi-isomorphisms of dgas for any simplicial set  $X$ .*

We will need some more preliminaries before proving this. We call a simplicial dga  $R(\bullet, *)$  **degree-wise contractible** if  $R(\bullet, q)$  the simplicial abelian group is contractible for all  $q$ . Note that in Félix-Halperin-Thomas this property is called ‘extendable’. But, we will just call it what it is.

**Proposition 1.3.** *Let  $G_\bullet$  be a simplicial group. Then,  $G_\bullet$  is fibrant as a simplicial set.*

*Proof.* Recall that in order to be fibrant, dotted lifts must exist in any solid-arrow diagram

$$\begin{array}{ccc} \Lambda_k^n & \longrightarrow & G \\ \downarrow & \nearrow & \downarrow \\ \Delta^n & \longrightarrow & * \end{array}$$

This is equivalent to the following condition: for any  $x_0, \dots, x_{k-1}, x_{k+1}, \dots, x_n \in G_{n-1}$  such that  $\partial_i x_j = \partial_{j-1} x_i$ ,  $i < j$  and  $i, j \neq k$ , there exists  $y \in G_n$  such that  $\partial_i y = x_i$  for  $i \neq k$ . We construct a filling  $y$  inductively as follows. Let  $g_{-1} = 1$ , the identity element of  $G_n$ . Assume we have constructed  $g_{r-1}$  such that  $\partial_i g_{r-1} = x_i$  for  $0 \leq i \leq r-1$ ,  $i \neq k$ . If  $r = k$ , set  $g_r = g_{r-1}$ . Otherwise, if  $r \neq k$ , define  $u = x_r^{-1} \partial_r(g_{r-1})$ . If  $i < r$ ,

$$\begin{aligned} \partial_i(u) &= \partial_i(x_r^{-1}) \partial_i \partial_r g_{r-1} \\ &= (\partial_i x_r)^{-1} \partial_{r-1} \partial_i g_{r-1} \\ &= (\partial_i x_r)^{-1} \partial_{r-1} x_i \\ &= 1, \end{aligned}$$

by hypothesis on the  $x_i$ . Thus, if we set  $g_r = g_{r-1}(\sigma_r u)^{-1}$ , we have  $\partial_i(g_r) = x_i(\sigma_{r-1} \partial_i(u))^{-1} = x_i$  if  $i < r$ , and  $\partial_r(g_r) = \partial_r(g_{r-1})u^{-1} = x_r$ . Thus, taking  $y = g_n$  works.  $\square$

*Remark 1.4.* Xing Gu asked in class why this proof does not work to show that  $G_\bullet$  satisfies the lifting property with respect to all diagrams

$$\begin{array}{ccc} \partial \Delta^n & \longrightarrow & G \\ \downarrow & \nearrow & \downarrow \\ \Delta^n & \longrightarrow & * \end{array}$$

In other words, why doesn't the proof show moreover that  $G_\bullet$  is contractible. The basic reason is as follows. If we took a sequence  $x_0, \dots, x_n \in G_n$  such that  $\partial_i x_j = \partial_{j-1} x_i$  as in the proof, then the proof would work to construct  $g_{n-1}$  such that  $\partial_i(g_{n-1}) = x_i$  for  $0 \leq i \leq n-1$ . What happens in degree  $n$ ? We define  $u = x_n^{-1} \partial_n(g_{n-1})$ , and then we set  $g_n = g_{n-1}(\sigma_n u)^{-1}$ . All good, right? **Wrong!** The class  $u$  is an  $n-1$ -simplex, so there is no  $n$ th degeneracy map to apply to it! This is related to the fact that a connected simplicial set with an **extra degeneracy** is contractible. If we had an extra degeneracy, the proof would work.

Here are a couple remarks related to this question. Recall that if  $G$  is a group,  $BG$  is the simplicial set with  $BG_n = G^n$  (so that  $BG_0 = *$ ). The face maps are given by  $\sigma_i(g_1, \dots, g_n) = (g_1, \dots, g_i, 1, g_{i+1}, \dots, g_n)$  and

$$\partial_i(g_1, \dots, g_n) = \begin{cases} (g_2, \dots, g_n) & \text{if } i = 0, \\ (g_1, \dots, g_i g_{i+1}, g_{i+2}, \dots, g_n) & \text{if } 0 < i < n, \\ (g_1, \dots, g_{n-1}) & \text{if } i = n. \end{cases}$$

As mentioned before I think,  $BG$  is called the **classifying space** of  $G$ , and indeed we have  $|BG|$  is a  $K(G, 1)$ -space.

**Exercise 1.5.** Show that  $BG$  is a simplicial group if and only if  $G$  is abelian.

**Exercise 1.6.** Let  $A$  be an abelian group. Prove that by hand that if every diagram

$$\begin{array}{ccc} \partial \Delta^2 & \longrightarrow & BA \\ \downarrow & \nearrow & \downarrow \\ \Delta^2 & \longrightarrow & * \end{array}$$

has a lift, then  $A = 0$ .

**Lemma 1.7.** Suppose that  $R(\bullet, *)$  is degree-wise contractible and that  $X \subseteq Y$  is an inclusion of simplicial sets. Then,  $A_R^*(Y) \rightarrow A_R^*(X)$  is surjective.

*Proof.* Since  $R(\bullet, q)$  is a Kan complex for all  $q$ , contractibility implies that  $R(\bullet, q) \rightarrow *$  is an acyclic fibration. But,  $X \rightarrow Y$  is a cofibration. It follows that there is always a lift in the

diagram

$$\begin{array}{ccc} X & \longrightarrow & R(\bullet, q) \\ \downarrow & \nearrow \tau & \downarrow \\ Y & \longrightarrow & * \end{array}$$

for any  $q$ . This proves the lemma.  $\square$

**Example 1.8.** We saw that  $\nabla(\bullet, *)$  is degree-wise contractible in Lecture 14.

**Lemma 1.9.** *The simplicial dga  $N$  is degree-wise contractible.*

By construction,  $H^*(N(p, *))$  is the cellular  $\mathbb{Q}$ -cohomology of  $\Delta_{\text{top}}^p$ , which is a contractible space, so it vanishes in positive degrees and is  $\mathbb{Q}$  in degree 0.

*Proof.* Consider  $N(\bullet, q)$ . As in the argument for the contractibility of  $\nabla(\bullet, q)$ , it is enough to consider the  $q = 0$  case, since it is enough to show that the homology of  $N(\bullet, q)$  vanishes, and this is a graded module over the graded ring  $N(\bullet, 0)$ . Now, consider  $N(1, 0) \rightrightarrows N(0, 0)$ . Note that  $N(p, 0) = \text{Hom}(\Delta_0^p, \mathbb{Z}) \cong \mathbb{Q}^{p+1}$ . With the natural basis,  $N(1, 0) \rightrightarrows N(0, 0)$  is  $\mathbb{Q}^2 \rightrightarrows \mathbb{Q}$ . The chain complex associated to  $N(\bullet, 0)$  has lowest differential  $\partial_0 - \partial_1 : \mathbb{Q}^2 \rightarrow \mathbb{Q}$ , which we can write in matrix form as  $\begin{pmatrix} -1 & 1 \end{pmatrix}$ . Evidently this is surjective, so that there is no degree zero homology. Since  $H_*N(\bullet, 0)$  has a ring structure via the Alexander-Whitney map, and since  $1 = 0$  in this ring, we have that the ring is zero, as desired.  $\square$

Given a pair  $Y \subseteq X$  and a degree-wise contractible simplicial dga  $R$ , we define  $A_R^*(X, Y)$  to be the kernel of  $A_R^*(X) \rightarrow A_R^*(Y)$ . These are the **cochains of the pair with coefficients in  $R$** .

**Proposition 1.10.** *If  $R \rightarrow S$  is a map of degree-wise contractible simplicial dgas such that  $R(p, *) \rightarrow S(p, *)$  is a quasi-isomorphism for all  $p \geq 0$ , then  $A_R^*(X, Y) \rightarrow A_S^*(X, Y)$  is a quasi-isomorphism for all pairs  $Y \subseteq X$ .*

*Proof.* It is enough to prove the proposition for  $Y = \emptyset$ , so that we just have to prove that  $A_R^*(X) \rightarrow A_S^*(X)$  is a quasi-isomorphism for all simplicial sets  $X$ . Note that  $A_R^*(\Delta^p) \cong R(p, *)$  and  $A_S^*(\Delta^p) \cong S(p, *)$ , by representability. Let  $\text{sk}_n X$  be the  $n$ -skeleton of  $X$ . Note that  $\text{sk}_0 X$  is the disjoint union of the 0-simplices of  $X$ . Since this is a coproduct,

$$\coprod_{\Delta^0 \rightarrow X} \Delta^0,$$

it follows from our hypothesis that  $A_R^*(\text{sk}_0 X) \rightarrow A_S^*(\text{sk}_0 X)$  is a quasi-isomorphism. We prove by induction that if the claim is true for all  $p - 1$ -dimensional simplicial sets, then it is true for all  $n$ -dimensional simplicial sets. So, assume that  $p - 1 \geq 0$  and that  $A_R^*(\text{sk}_{p-1} X) \rightarrow A_S^*(\text{sk}_{p-1} X)$  is a quasi-isomorphism for all simplicial sets  $X$ . Note that this includes the boundary  $\partial\Delta^p$ . Since we know that we get a quasi-isomorphism for  $\Delta^p$ , this implies that all three vertical maps are quasi-isomorphisms in

$$\begin{array}{ccccccc} 0 & \longrightarrow & A_R^*(\Delta^p, \partial\Delta^p) & \longrightarrow & A_R^*(\Delta^p) & \longrightarrow & A_R^*(\partial\Delta^p) \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & A_S^*(\Delta^p, \partial\Delta^p) & \longrightarrow & A_S^*(\Delta^p) & \longrightarrow & A_S^*(\partial\Delta^p) \longrightarrow 0. \end{array}$$

Suppose that  $Y$  is  $p - 1$ -dimensional, and that  $X$  is obtained from  $Y$  by adding a single non-degenerate  $p$ -simplex  $\sigma$ . Note that in this case, the boundary of  $\sigma$  is contained in  $Y$ . In this case,  $A_R^*(X, Y) \cong A_R^*(\Delta^p, \partial\Delta^p)$ , and similarly for  $S$ . Indeed, both sides are completely determined by where they send the unique  $p$ -simplex not in  $Y$  or  $\partial\Delta^p$ , respectively. It follows that  $A_R^*(\text{sk}_p X) \rightarrow A_S^*(\text{sk}_p X)$  is a (possibly transfinite) filtered limit of quasi-isomorphisms, and hence it is a quasi-isomorphism by the lemma below when  $I$  is sufficiently small. Since  $X = \text{colim}_p \text{sk}_p X$ , we again have  $A_R^*(X) = \lim_p A_R^*(\text{sk}_p X)$ , the next lemma works for  $X$  since  $\mathbb{N}$  is  $\aleph_1$ -small. In the general case for going from  $\text{sk}_{p-1} X$  to  $\text{sk}_p X$ , it is better to argue

that  $A_R^*(\mathrm{sk}_p X, \mathrm{sk}_{p-1} X) \cong \bigoplus A_R^*(\Delta^p, \partial\Delta^p)$  where the direct sum is over all non-degenerate  $p$ -simplices of  $X$ .  $\square$

**Lemma 1.11.** *Suppose that  $I$  is an  $\aleph_\omega$ -small filtered category, and let  $F, G : I^{\mathrm{op}} \rightarrow \mathrm{Ch}^{\geq 0}$  be functors from  $I^{\mathrm{op}}$  to non-negatively graded cochain complexes with a natural transformation  $F \rightarrow G$ . If  $F(i) \rightarrow G(i)$  is a quasi-isomorphism for all  $i \in I$ , then  $\lim_{I^{\mathrm{op}}} F(i) \rightarrow \lim_{I^{\mathrm{op}}} G(i)$  is a quasi-isomorphism.*

*Proof.* Since  $I$  is small and filtered, the derived functors  $R^p \lim$  vanish for  $p \gg 0$  by work of Jensen (1970). It follows that the spectral sequence

$$E_2^{p,q} = R^p \lim_i H^q(F(i)) \Rightarrow H^{p+q}(\lim_i F(i))$$

converges, from which the lemma follows from the functoriality of spectral sequences.  $\square$

**Question 1.12.** Can we prove the lemma in full generality for small filtered  $I$  using homotopy limits and model categories?

*Proof of Theorem 1.2.* We can apply Proposition 1.10 to the two morphisms  $N \rightarrow N \otimes \nabla \leftarrow \nabla$ . We only have to observe that  $N \otimes \nabla$  is degree-wise contractible. In degree  $q$ , we have

$$(N \otimes \nabla)(\bullet, q) \cong \bigoplus_{a+b=q} N(\bullet, a) \otimes \nabla(\bullet, b).$$

The homology of each summand on the right side vanishes by Künneth.  $\square$

What's very nice about this approach is that we get multiplicativity without further work, and this answers Thom's question completely.

#### REFERENCES

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