



Northwestern University

Name:

SOLUTIONS

Student ID:

# Math 290-2 Midterm 1

Winter Quarter 2013

Tuesday, February 5, 2013

Put a check mark next to your section:

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### Instructions:

- Read each problem carefully.
- Write legibly.
- Show all your work on these sheets.
- This exam has 10 pages, and 6 questions. Please make sure that all pages are included.
- You may not use books, notes or calculators.
- You have one hour to complete this exam.

Good luck!

Question	Possible points	Score
1	20	
2	20	
3	14	
4	15	
5	15	
6	16	
TOTAL	100	

1. Determine whether each of the following statements is **TRUE** or **FALSE**. Justify your answer.

- (a) Suppose  $L$  is a line through the origin in  $\mathbb{R}^n$  and  $\vec{x}$  is a vector in  $\mathbb{R}^n$ . The quantity  $\vec{x} \cdot \text{proj}_L(\vec{x})$  must be negative.

False. If  $L = \text{span}\left\{\begin{pmatrix} 1 \\ 0 \end{pmatrix}\right\}$  then

$$\begin{pmatrix} 1 \\ 0 \end{pmatrix} \cdot \text{proj}_L \begin{pmatrix} 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 1 \\ 0 \end{pmatrix} = 1 > 0.$$

↑  
 $\begin{pmatrix} 1 \\ 0 \end{pmatrix} \in L$

- (b) There is an orthogonal matrix that has 2 as an eigenvalue.

FALSE Orthogonal matrices preserve length i.e. if  $A$  is orthogonal, then  $\|Ax\| = \|x\|$  for all  $x$ .

(c) If  $A$  is an  $n \times n$  symmetric matrix such that  $A^3 = I_n$ , then  $A = I_n$ .

**TRUE**  $A$  is symmetric, so it's orthogonally diagonalizable  
 $A = QDQ^T$  (here,  $Q$  is orthogonal and  $D$  is diagonal).  
 Then  $A^3 = QD^3Q^T$ . But  $A^3 = I_n$ , so  $D^3 = I_n$ , so  
 $D = I_n$ . Therefore,  $A = I_n$ .

Remember  $D = \begin{pmatrix} \lambda_1 & & 0 \\ & \lambda_2 & \\ 0 & & \ddots \\ & & & \lambda_n \end{pmatrix}$ , so  $D^3 = \begin{pmatrix} \lambda_1^3 & & 0 \\ & \lambda_2^3 & \\ 0 & & \ddots \\ & & & \lambda_n^3 \end{pmatrix} = \begin{pmatrix} 1 & & 0 \\ & 1 & \\ 0 & & \ddots \\ & & & 1 \end{pmatrix}$ .  
 So  $\lambda_1^3 = \lambda_2^3 = \dots = \lambda_n^3 = 1$ . This means  $\lambda_1 = \lambda_2 = \dots = \lambda_n = 1$   
 (the only real number  $x$  st.  $x^3 = 1$  is  $x = 1$ ).

(d) The parametric equations  $x = 3 - t^3, y = 4 + 2t^3, z = \pi - 4t^3$  describe a line.

**TRUE**

$$\begin{pmatrix} x(t) \\ y(t) \\ z(t) \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \\ \pi \end{pmatrix} + t^3 \begin{pmatrix} -1 \\ 2 \\ -4 \end{pmatrix}$$

Since  $t^3$  can be any real number, the above curve is indeed a line, passing through the point  $(3, 4, \pi)$  and having direction vector  $(-1, 2, -4)$ .

(What if  $t^3$  was replaced by  $t^2$ ?)

2. Determine whether each of the following statements is **ALWAYS** true, **SOMETIMES** true, or **NEVER** true. Justify your answer

(a) If  $V = \text{Span}(\vec{v}_1, \vec{v}_2)$  is a subspace of  $\mathbb{R}^n$  and  $\vec{x}$  is in  $\mathbb{R}^n$ , then

$$\text{Proj}_V(\vec{x}) = \text{Proj}_{\text{Span}(\vec{v}_1)}(\vec{x}) + \text{Proj}_{\text{Span}(\vec{v}_2)}(\vec{x})$$

Sometimes.

• If  $v_1$  and  $v_2$  are orthogonal, this is the formula for orthogonal projection onto their span.

• If  ~~$v_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$~~   $v_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$  then  $V = \text{Span}\{\vec{v}_1, \vec{v}_2\} = \mathbb{R}^2$ , so  $\text{proj}_V \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ .

But  ~~$v_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$~~   $\text{proj}_{\text{Span}\{v_1, v_2\}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1/2 \\ 1/2 \end{pmatrix}$   
 $\text{proj}_{\text{Span}\{v_2\}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ .

So in this case

$$\text{proj}_V \begin{pmatrix} 1 \\ 1 \end{pmatrix} \neq \text{proj}_{\text{Span}\{v_1, v_2\}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} + \text{proj}_{\text{Span}\{v_2\}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}.$$

(b) If  $\mathcal{B} = \{\vec{v}_1, \dots, \vec{v}_n\}$  is an orthonormal basis for  $\mathbb{R}^n$ , then the vector of  $\mathcal{B}$ -coordinates of a vector  $\vec{u} \in \mathbb{R}^n$  is given by

$$[\vec{u}]_{\mathcal{B}} = \begin{pmatrix} \vec{u} \cdot \vec{v}_1 \\ \vec{u} \cdot \vec{v}_2 \\ \vdots \\ \vec{u} \cdot \vec{v}_n \end{pmatrix}.$$

Always. If we write

$$\vec{u} = c_1 \vec{v}_1 + c_2 \vec{v}_2 + \dots + c_n \vec{v}_n, \quad \text{then} \quad [\vec{u}]_{\mathcal{B}} = \begin{pmatrix} c_1 \\ \vdots \\ c_n \end{pmatrix}.$$

For each  $1 \leq i \leq n$ ,

$$\vec{u} \cdot \vec{v}_i = (c_1 \vec{v}_1 + \dots + c_n \vec{v}_n) \cdot \vec{v}_i = c_1 (\vec{v}_1 \cdot \vec{v}_i) + c_2 (\vec{v}_2 \cdot \vec{v}_i) + \dots + c_n (\vec{v}_n \cdot \vec{v}_i).$$

Since  $\mathcal{B}$  is orthonormal, RHS is  $c_i$ .

$$\text{so } [\vec{u}]_{\mathcal{B}} = \begin{pmatrix} \vec{u} \cdot \vec{v}_1 \\ \vec{u} \cdot \vec{v}_2 \\ \vdots \\ \vec{u} \cdot \vec{v}_n \end{pmatrix}.$$

- (c) Let  $a$  and  $b$  be positive real numbers. There is an orthogonal  $2 \times 2$  matrix  $S$  such that

$$S^{-1} \begin{pmatrix} 0 & a \\ b & 0 \end{pmatrix} S = \begin{pmatrix} \sqrt{ab} & 0 \\ 0 & -\sqrt{ab} \end{pmatrix}$$

Sometimes.

~~\_\_\_\_\_~~

If  $a \neq b$ , then  $\begin{pmatrix} 0 & a \\ b & 0 \end{pmatrix}$  is not symmetric  
 $\Rightarrow$  not orthogonally diagonalizable.

If  $a = b$ , then the eigenvalues of  $\begin{pmatrix} 0 & a \\ a & 0 \end{pmatrix}$   
 are  $\lambda = \pm a = \pm \sqrt{a^2} = \pm \sqrt{ab}$ .

Since  $\begin{pmatrix} 0 & a \\ a & 0 \end{pmatrix}$  is symmetric, there is an  
 orthogonal matrix  $S$  such that

$$\begin{pmatrix} 0 & a \\ a & 0 \end{pmatrix} = S \begin{pmatrix} \sqrt{ab} & 0 \\ 0 & -\sqrt{ab} \end{pmatrix} S^{-1}$$

↑  
eigenvalues.

- (d) For a function  $f(t)$  of  $t$ , the curve with parametric equations

$$x = 2e^t, y = f(t) \cos t, z = e^t$$

intersects the plane  $x + 3z = 5$ .

$$5 = x(t) + 3z(t) = 2e^t + 3(e^t) = 5e^t \Rightarrow 1 = e^t \Rightarrow t = 0$$

corresponds to  
 the point  $(2, f(0), 1)$   
 on the curve

$$\text{CHECK: } 2 + 3(1) = 5$$

ALWAYS TRUE

3. Find an orthonormal basis for

$$\text{Span} \left\{ \begin{pmatrix} 0 \\ 0 \\ -4 \\ 0 \end{pmatrix}, \begin{pmatrix} 2 \\ -1 \\ 5 \\ 0 \end{pmatrix}, \begin{pmatrix} 3 \\ 1 \\ 7 \\ 3 \end{pmatrix} \right\}$$

You may assume the vectors above are linearly independent.

$$\vec{u}_1 = \frac{1}{\sqrt{16}} \cdot \begin{pmatrix} 0 \\ 0 \\ -4 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ -1 \\ 0 \end{pmatrix}$$

$$\vec{v}_2^\perp = \begin{pmatrix} 2 \\ -1 \\ 5 \\ 0 \end{pmatrix} - \left( \begin{pmatrix} 0 \\ 0 \\ -1 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 2 \\ -1 \\ 5 \\ 0 \end{pmatrix} \right) \begin{pmatrix} 0 \\ 0 \\ -1 \\ 0 \end{pmatrix} = \begin{pmatrix} 2 \\ -1 \\ 0 \\ 0 \end{pmatrix}$$

$$\Rightarrow \vec{u}_2 = \frac{1}{\sqrt{5}} \begin{pmatrix} 2 \\ -1 \\ 0 \\ 0 \end{pmatrix}$$

$$\vec{v}_3^\perp = \begin{pmatrix} 3 \\ 1 \\ 7 \\ 3 \end{pmatrix} - \left( \begin{pmatrix} 0 \\ 0 \\ -1 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 3 \\ 1 \\ 7 \\ 3 \end{pmatrix} \right) \begin{pmatrix} 0 \\ 0 \\ -1 \\ 0 \end{pmatrix} - \left( \frac{1}{\sqrt{5}} \begin{pmatrix} 2 \\ -1 \\ 0 \\ 0 \end{pmatrix} \cdot \begin{pmatrix} 3 \\ 1 \\ 7 \\ 3 \end{pmatrix} \right) \begin{pmatrix} 2\sqrt{5} \\ -1/\sqrt{5} \\ 0 \\ 0 \end{pmatrix}$$

$$= \begin{pmatrix} 3 \\ 1 \\ 7 \\ 3 \end{pmatrix} - \begin{pmatrix} 0 \\ 0 \\ 7 \\ 0 \end{pmatrix} - \begin{pmatrix} 2 \\ -1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 2 \\ 0 \\ 3 \end{pmatrix}$$

$$\vec{u}_3 = \frac{1}{\sqrt{14}} \begin{pmatrix} 1 \\ 2 \\ 0 \\ 3 \end{pmatrix}$$

4. A satellite is floating in space (presently it is sitting at the origin in  $\mathbb{R}^3$ ). It has two sets of thrusters that allow it to move in different directions:

the 1<sup>st</sup> set let it move forward/backward in the direction determined by the vector  $\begin{pmatrix} 3 \\ 2 \\ 0 \end{pmatrix}$ ;

the 2<sup>nd</sup> set let it move forward/backward in the direction determined by the vector  $\begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$ .

The owners of the satellite want to move it from its present location to the point  $\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$ .

Is it possible for the satellite to get there? If not, what point in  $\mathbb{R}^3$  is the closest it can

get to  $\begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}$ ?

→ No:  $\begin{pmatrix} 3 & 0 & | & 1 \\ 2 & 1 & | & 1 \\ 0 & 1 & | & 1 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 0 & | & \frac{1}{3} \\ 0 & 1 & | & \frac{1}{3} \\ 0 & 1 & | & 1 \end{pmatrix}$  is inconsistent.

→ use least-squares to find this point:

$$A = \begin{pmatrix} 3 & 0 \\ 2 & 1 \\ 0 & 1 \end{pmatrix}, \quad A^T = \begin{pmatrix} 3 & 2 & 0 \\ 0 & 1 & 1 \end{pmatrix}, \quad AA^T = \begin{pmatrix} 13 & 2 \\ 2 & 2 \end{pmatrix}$$

$$\vec{b} = \begin{pmatrix} 1 \\ 1 \\ 1 \end{pmatrix}, \quad A^T \vec{b} = \begin{pmatrix} 5 \\ 2 \end{pmatrix}$$

So want to solve  $A^T A \vec{x} = A^T \vec{b}$ , ie

$$\begin{pmatrix} 13 & 2 & | & 5 \\ 2 & 2 & | & 2 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 1 & | & 1 \\ 0 & -1 & | & -8 \end{pmatrix} \rightarrow \begin{aligned} x_2 &= \frac{8}{11}, \\ x_1 &= 1 - x_2 = \frac{3}{11}. \end{aligned}$$

Then  $A\vec{x}$ , the point closest to  $\vec{b}$ , is

$$\begin{pmatrix} 3 & 0 \\ 2 & 1 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} \frac{3}{11} \\ \frac{8}{11} \end{pmatrix} = \begin{pmatrix} \frac{9}{11} \\ \frac{14}{11} \\ \frac{8}{11} \end{pmatrix}.$$

5. Let  $q : \mathbb{R}^3 \rightarrow \mathbb{R}$  be the quadratic form:

$$q(x_1, x_2, x_3) = x_1^2 + ax_2^2 + x_3^2 + 4x_1x_3.$$

(a) Find the  $3 \times 3$  symmetric matrix  $A$  that represents  $q$ .

There is a typo, so the quadratic form is

$$q(x_1, x_2, x_3) = x_1^2 + ax_2^2 + x_3^2 + 4x_1x_3.$$

Then

$$\begin{pmatrix} 1 & 0 & 2 \\ 0 & a & 0 \\ 2 & 0 & 1 \end{pmatrix} \text{ is the matrix that represents } q.$$

(b) For what real numbers  $a$  is the quadratic form positive definite? For what values is it negative definite? For what values is it indefinite? Justify your answer.

One solution is to find the eigenvalues:

$$\begin{aligned} \det \begin{pmatrix} 1-\lambda & 0 & 2 \\ 0 & a-\lambda & 0 \\ 2 & 0 & 1-\lambda \end{pmatrix} &= (1-\lambda)(a-\lambda) - 4(a-\lambda) \\ &= ((1-\lambda)^2 - 4)(a-\lambda) \\ &= ((1-\lambda)^2 - 2^2)(a-\lambda) \\ &= (1-\lambda-2)(1-\lambda+2)(a-\lambda) \\ &= -(1+\lambda)(3-\lambda)(a-\lambda) \end{aligned}$$

$$\text{So } \lambda_1 = -1$$

$$\lambda_2 = 3$$

$$\lambda_3 = a$$

Since we have a positive eigenvalue, and a negative eigenvalue,  $q$  is indefinite for all possible " $a$ ".



6. Consider the lines given by the parametric equations

$$\begin{cases} x = t \\ y = t \\ z = t \end{cases} \quad \text{and} \quad \begin{cases} x = 1 + 2t \\ y = 17 \\ z = 1 + 2t. \end{cases}$$

Find a parametric equation for the line which is perpendicular to both lines and passes through their point of intersection.

point of intersection

$$t_1 = 1 + 2t_2$$

$$t_1 = 17$$

$$t_1 = 1 + 2t_2$$

$$\text{when } t_1 = 17,$$

$$t_2 = 8,$$

$$\text{So } \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} 17 \\ 17 \\ 17 \end{pmatrix}$$

perpendicular to both lines

∴ direction is cross-product of direction vectors:

$$\begin{vmatrix} \vec{i} & \vec{j} & \vec{k} \\ 1 & 1 & 1 \\ 2 & 0 & 2 \end{vmatrix} = 2\vec{i} + 0\vec{j} - 2\vec{k} \\ = \begin{pmatrix} 2 \\ 0 \\ -2 \end{pmatrix}$$

So, line thru  $\begin{pmatrix} 17 \\ 17 \\ 17 \end{pmatrix}$  in direction of  $\begin{pmatrix} 2 \\ 0 \\ -2 \end{pmatrix}$ :

$$x = 17 + 2t$$

$$y = 17$$

$$z = 17 - 2t$$